

Electronic Acknowledgement Receipt

EFS ID:	6540819
Application Number:	09923035
International Application Number:	
Confirmation Number:	8962
Title of Invention:	Methods and apparatus for formulation, initial public or private offering, and secondary market trading of risk management contracts
First Named Inventor/Applicant Name:	John Nafeh
Customer Number:	22830
Filer:	Kent Brian Bathurst
Filer Authorized By:	
Attorney Docket Number:	PA3817US
Receipt Date:	30-NOV-2009
Filing Date:	06-AUG-2001
Time Stamp:	21:04:01
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$650
RAM confirmation Number	11490
Deposit Account	060600
Authorized User	

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		3817US_Amendment.pdf	81132 1996c34ed803f984783f3241fa8ca6c276b5	yes	14
Multipart Description/PDF files in .zip description					
	Document Description		Start		End
	Amendment After Final		1		1
	Claims		2		7
	Applicant Arguments/Remarks Made in an Amendment		8		14
Warnings:					
Information:					
2	Request for Continued Examination (RCE)	3817US_PTO_SB_30EFS.pdf	30882 3d132a6f17c6dcdd11b3d86f994d63d8e4f59e9	no	2
Warnings:					
This is not a USPTO supplied RCE SB30 form.					
Information:					
3	Fee Worksheet (PTO-875)	fee-info.pdf	32278 83e1ac3f8d7d48843cd29dc2a228029a0262a09f	no	2
Warnings:					
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Total Files Size (in bytes):			144292		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

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